

# A spoonful of dendrology: from hypertrees to Cayley trees

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Algebraic Combinatorics of the Symmetric Groups and Coxeter Groups II  
Cetraro, July 2022

# Outline

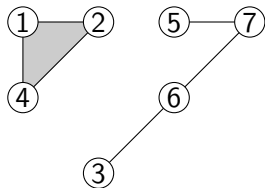
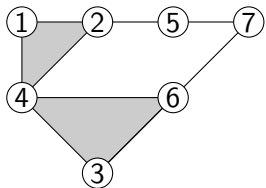
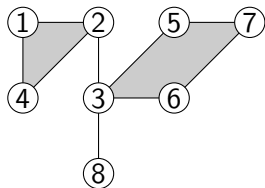
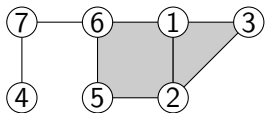
- 1 From hypertrees to  $n^{n-1}$
- 2 Action of the symmetric group on this poset

From hypertrees to  $n^{n-1}$

# Outline

- 1 From hypertrees to  $n^{n-1}$ 
  - Hypertree poset
  - Homology of the hypertree posets
  - From hypertrees to Cayley trees
- 2 Action of the symmetric group on this poset

# Hypergraphs [Berge, 80s] and hypertrees



# The hypertree poset

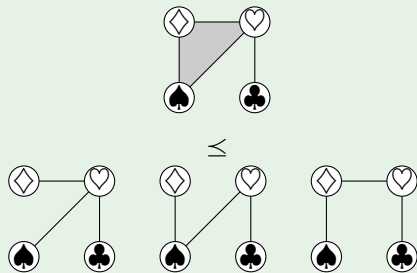
## Definition

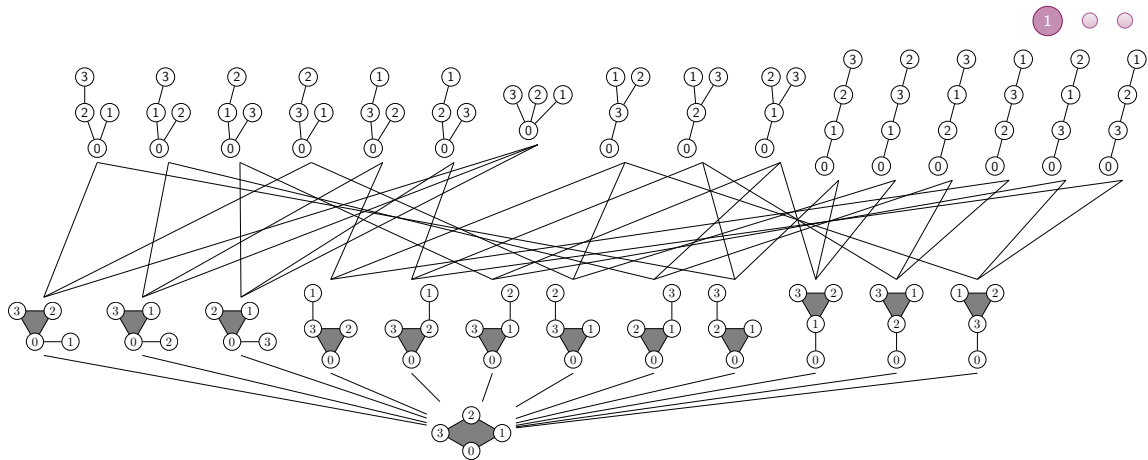
Let  $I$  be a finite set of cardinality  $n$ ,  $S$  and  $T$  be two hypertrees on  $I$ .

$$S \leq T \iff \text{Each edge of } S \text{ is the union of edges of } T$$

We write  $S < T$  if  $S \leq T$  but  $S \neq T$ .

## Example with hypertrees on four vertices





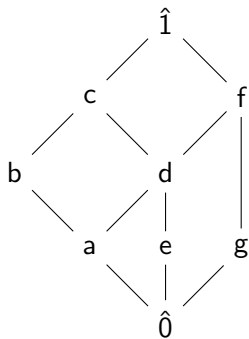
- $HT_n$  = hypertree poset on  $[0, n]$ .
- $\widehat{HT}_n$  = augmented hypertree poset on  $[0, n]$ .

Goal of this section:

- Compute of the action of the symmetric group on the homology of this poset

## Homology of the poset

To each poset, we can associate a simplicial complex (order complex).



The homology of the poset is the (reduced) homology of this simplicial complex (topological invariant).



### Theorem (McCammond-Meier, 04)

*The homology of  $\widehat{HT}_n$  and  $HT_n$  are concentrated in maximal degree  $n - 2$  and  $n - 3$  respectively.*

### Corollary

*The character for the action of the symmetric group on  $\tilde{H}_{n-3}$  is given in terms of characters for the action of the symmetric group on  $C_k$  by:*

$$\chi_{\tilde{H}_{n-3}} = (-1)^{n-3} \sum_{k=-1}^{n-3} (-1)^k \chi_{C_k}, \text{ where } n = \#I,$$

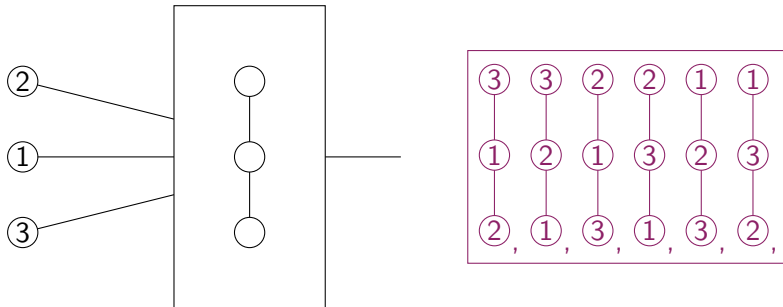
*where  $C_k$  is the vector space spanned by  $k + 1$ -tuples  $(a_0, \dots, a_k)$  with  $a_i < a_{i+1}$ .*

## What are species?

### Definition (Joyal, 80s)

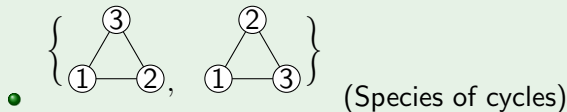
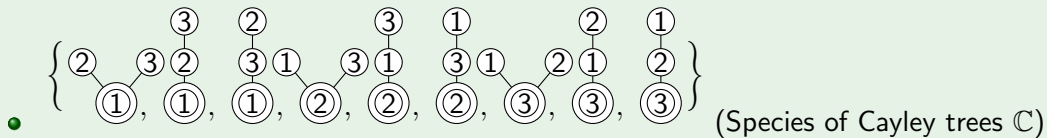
A **species**  $F$  is a functor from the category of finite sets and bijections to itself. To a finite set  $I$ , the species  $F$  associates a finite set  $F(I)$  independent from the nature of  $I$ .

Species = Construction plan, such that the set obtained is invariant by relabelling



## Examples of species

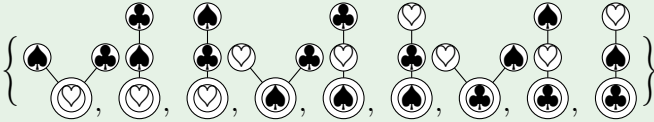
- $\{(1, 2, 3), (1, 3, 2), (2, 1, 3), (2, 3, 1), (3, 1, 2), (3, 2, 1)\}$  (Species of lists  $\mathbb{L}$  on  $\{1, 2, 3\}$ )
- $\{\{1, 2, 3\}\}$  (species of non-empty sets  $\mathbb{E}^+$ )
- $\{\{1\}, \{2\}, \{3\}\}$  (species of pointed sets  $\mathbb{E}^\bullet$ )




These sets are the image by species of the set  $\{1, 2, 3\}$ .

## Examples of species

- $\{(\heartsuit, \spadesuit, \clubsuit), (\heartsuit, \clubsuit, \spadesuit), (\spadesuit, \heartsuit, \clubsuit), (\spadesuit, \clubsuit, \heartsuit), (\clubsuit, \heartsuit, \spadesuit), (\clubsuit, \spadesuit, \heartsuit)\}$  (Species of lists  $\mathbb{L}$  on  $\{\clubsuit, \heartsuit, \spadesuit\}$ )
- $\{\{\heartsuit, \spadesuit, \clubsuit\}\}$  (Species of non-empty sets  $\mathbb{E}^+$ )
- $\{\{\heartsuit\}, \{\spadesuit\}, \{\clubsuit\}\}$  (Species of pointed sets  $\mathbb{E}^\bullet$ )

-  (Species of rooted trees  $\mathbb{C}$ )

-  (Species of cycles)

These sets are the image by species of the set  $\{\clubsuit, \heartsuit, \spadesuit\}$ .

## Operations on species and generating series

### Proposition

Let  $F$  and  $G$  be two species. Let us define:

$$(F + G)(I) = F(I) \sqcup G(I),$$

$$(F \times G)(I) = \bigsqcup_{I_1 \sqcup I_2 = I} F(I_1) \times G(I_2),$$

$$(F \circ G)(I) = \bigsqcup_{\pi \in \mathcal{P}(I)} F(\pi) \times \prod_{J \in \pi} G(J),$$

where  $\mathcal{P}(I)$  runs on the set of partitions of  $I$ .

## Definition

To a species  $F$ , we associate its **generating series**:

$$C_F(x) = \sum_{n \geq 0} \#F(\{1, \dots, n\}) \frac{x^n}{n!}.$$

## Examples of generating series:

- The generating series of the species of lists is  $C_{\mathbb{L}} = \frac{1}{1-x}$ .
- The generating series of the species of non-empty sets is  $C_{\mathbb{E}^+} = \exp(x) - 1$ .
- The generating series of the species of pointed sets is  $C_{\mathbb{E}^\bullet} = x \cdot \exp(x)$ .
- The generating series of the species of rooted trees is  $C_{\mathbb{C}} = \sum_{n \geq 0} n^{n-1} \frac{x^n}{n!}$ .
- The generating series of the species of cycles is  $C_{\text{Cycles}} = -\ln(1-x)$ .

## Counting strict chains using large chains

Let  $I$  be a finite set of cardinality  $n$ .

### Definition

A **large  $k$ -chain** of hypertrees on  $I$  is a  $k$ -tuple  $(a_1, \dots, a_k)$ , where  $a_i$  are hypertrees on  $I$  and  $a_i \preceq a_{i+1}$ .

We get, for all integer  $k > 0$ :

$$\chi_k = \sum_{i=0}^{n-2} \binom{k}{i} \chi_{C_i}.$$

$\chi_k$  is a polynomial  $P(k)$  in  $k$  which gives, once evaluated in  $-1$ , the character:

### Corollary

$$\chi_{\tilde{H}_{n-2}(\widehat{HT}_n)} = (-1)^n P(-1) =: (-1)^n \chi_{-1}$$

# From hypertrees to Cayley trees

We denote by:

- $\mathcal{H}_k^0$ , the species which associated to any finite set  $V$  the set of large  $k$ -chains of hypertrees on  $\{0\} \cup V$ ,
- $\mathcal{H}_k^p$ , the species which associated to any finite set  $V$  the set of large  $k$ -chains of rooted hypertrees on  $V$ .

## Theorem (BO, 2013)

The species  $\mathcal{H}_k^0$  and  $\mathcal{H}_k^p$  satisfy:

$$\mathcal{H}_k^p = X \times (\mathcal{H}_k^0 + 1)$$

$$\mathcal{H}_k^0 = \mathbb{E}^+ \circ \mathcal{H}_{k-1}^0 \circ \mathcal{H}_k^p$$

Proof



## Dimension of the homology

### Proposition

The generating series of the species  $\mathcal{H}_k^0$  satisfies:

$$\begin{aligned} \mathcal{C}_k^0 &= \exp(\mathcal{C}_{k-1}^0 \circ (x(\mathcal{C}_k^0 + 1))) - 1, \\ \mathcal{C}_1^0 &= \exp(\exp(x(\mathcal{C}_1^0 + 1)) - 1) - 1 \end{aligned}$$

We thus get:

**Theorem** (adapted from [McCammond-Meier, 04])

*The dimension of the unique homology group of the augmented hypertree poset is  $n^{n-1}$ .*

### Question:

Is it also the same action of the symmetric group ?



Action of the symmetric group on this poset

# Outline

- 1 From hypertrees to  $n^{n-1}$
- 2 Action of the symmetric group on this poset
  - Cycle index series
  - What is an operad ?
  - Back to posets

## Definition

The **cycle index series** of a species  $F$  is the formal power series in an infinite number of variables  $\mathfrak{p} = (p_1, p_2, p_3, \dots)$  defined by:

$$Z_F(\mathfrak{p}) = \sum_{n \geq 0} \frac{1}{n!} \left( \sum_{\sigma \in \mathfrak{S}_n} F^\sigma p_1^{\sigma_1} p_2^{\sigma_2} p_3^{\sigma_3} \dots \right),$$

- with  $F^\sigma$ , is the set of  $F$ -structures fixed under the action of  $\sigma$ ,
- and  $\sigma_i$ , the number of cycles of length  $i$  in the decomposition of  $\sigma$  into disjoint cycles.

## Examples

- The cycle index series of the species of lists is  $Z_{\mathbb{L}} = \frac{1}{1-p_1}$ .
- The cycle index series of the species of non empty sets is  $Z_{\mathbb{E}^+} = \exp\left(\sum_{k \geq 1} \frac{p_k}{k}\right) - 1$ .

# Operations

Operations on species give operations on their cycle index series:

## Proposition

Let  $F$  and  $G$  be two species. Their cycle index series satisfy:

$$Z_{F+G} = Z_F + Z_G, \quad Z_{F \times G} = Z_F \times Z_G, \quad Z_{F \circ G} = Z_F \circ Z_G.$$

## Definition

The **suspension**  $\Sigma_t$  of a cycle index series  $f(p_1, p_2, p_3, \dots)$  is defined by:

$$\Sigma f = -f(-p_1, -p_2, -p_3, \dots).$$

Theorem (BO, 13; conjecture of [Chapoton, 05])

The cycle index series  $Z_{-1}^0$ , which gives the character for the action of  $\mathfrak{S}_n$  on  $\check{H}_{n-2}$ , is given by:

$$\begin{aligned} Z_{-1}^0 &= \Sigma \mathbb{C} \\ &= \Sigma \text{PreLie}. \end{aligned}$$

Let us draw the parallel with partition posets !

Theorem (Stanley 82, Hanlon 81, Joyal 85)

The cycle index series which gives the character for the action of  $\mathfrak{S}_n$  on  $\check{H}_{n-1}(\Pi_n)$ , is given by  $\Sigma \text{Lie}$ .

Link with [operads](#) [Fresse 04] !

## What is an operad ?

An **operad** is a vector species  $\mathcal{F}$  (i.e. species with values in finite vector spaces) endowed with an associative product

$$\pi : \mathcal{F} \circ \mathcal{F} \rightarrow \mathcal{F}$$

and a unit.

### Why do we care about operads ?

To any (algebraic) operad can be associated a type of algebras.

Proving properties on operads (such as Koszulness) help proving them for algebras.



## Some Lie operads

Operads map a set  $V$  to a quotient of a vector space spanned by planar trees whose internal nodes are decorated by products and whose leaves are labelled by  $V$ . The composition is given by the grafting on the leaves.

- Lie generated by a binary product  $[\cdot; \cdot]$  with relations Jacobi+anti-symmetry
- pre-Lie generated by a binary product  $\leftarrow$  with the pre-Lie relation

$$(x \leftarrow y) \leftarrow z - x \leftarrow (y \leftarrow z) = (x \leftarrow z) \leftarrow y - x \leftarrow (z \leftarrow y)$$

(basis given by Cayley trees)

- post-Lie generated by two binary products  $[\cdot; \cdot]$  and  $\triangleleft$  such that  $[\cdot; \cdot]$  Lie bracket and

$$(x \triangleleft y) \triangleleft z - x \triangleleft (y \triangleleft z) - (x \triangleleft z) \triangleleft y + x \triangleleft (z \triangleleft y) = 0$$

$$[x, y] \triangleleft z = [x \triangleleft z, y] + [x, y \triangleleft z]$$

(basis given by Lie brackets of planar binary trees)

## Partition posets [Fresse 04]

Minimal building set = partition with only one part of size  $> 2$

Nested sets of the poset  $\Pi_k$ :  $\mathcal{NS}(\Pi_k)$  = set of trees with leaves decorated by a partition of  $\{1, \dots, k\}$

### Lemma

Given a partition  $\pi = \{\pi_1, \dots, \pi_k\}$ ,

$$[\hat{0}, \pi] \simeq \Pi_k, \quad [\pi, \hat{1}] \simeq \prod_{i=1}^k \Pi_{|\pi_i|}$$

Get an operad by grafting !

## Back to the hypertree posets

We consider the poset  $HT_n$  (and not  $\widehat{HT}_n$ ).

### Lemma (Dupont-BDO 22+)

The Möbius number of  $HT_n$  is given by  $\frac{(2n-1)!}{n!} = \sum_{\tau \in C(n)} \prod_{v \in V(\tau)} (\deg(v) - 1)!$ .

A006963 Number of planar embedded labeled trees with n nodes:  $(2n-3)!/(n-1)!$  for n 23  
 $\geq 2$ ,  $a(1) = 1$ .  
 (Formerly M3076)

1, 1, 3, 20, 210, 3024, 55440, 1235520, 32432400, 980179200, 33522128640, 1279935820800,  
 53970627110400, 2490952020480000, 124903451312640000, 6761440164390912000, 393008709555221760000,  
 24412776311194951680000, 1613955767240110694400000 ([list](#); [graph](#); [refs](#); [listen](#); [history](#); [text](#); [internal format](#))

OFFSET 1,3

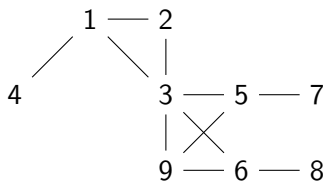
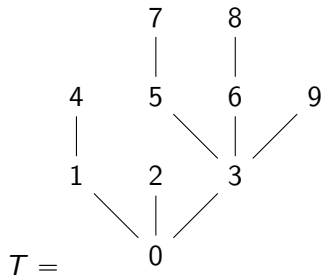
COMMENTS For  $n > 1$ : central terms of the triangle in [A173333](#); cf. [A001761](#), [A001813](#). - [Reinhard Zumkeller](#), Feb 19 2010  
 Can be obtained from the Vandermonde permanent of the first n positive integers; see [A093883](#). - [Clark Kimberling](#), Jan 02 2012  
 All trees can be embedded in the plane, but "planar embedded" means that orientation matters but rotation doesn't. For example, the n-star with n-1 edges has n! ways to label it, but rotation removes a factor of n-1. Another example, the n-path has n! ways to label it, but rotation removes a factor of 2. - [Michael Somos](#), Aug 19 2014

REFERENCES N. J. A. Sloane and Simon Plouffe, The Encyclopedia of Integer Sequences, Academic Press, 1995 (includes this sequence)

## Hypertree posets and post-Lie operad

Minimal building set : Hypertrees with only one edge of cardinality  $> 2$

Nested sets : pairs  $(T, N)$  where  $T$  is a tree and  $N$  is a tubing of its adjacency graph or equivalently doubled trees



## Composition of doubled trees

### Theorem (Dupont-BDO,22+)

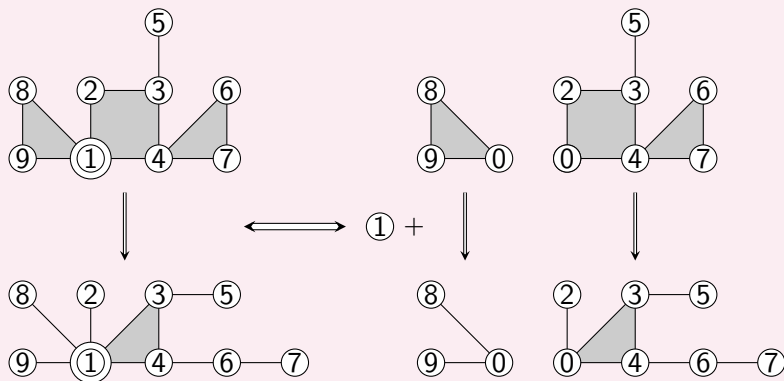
*It is possible to define a (dg-) operad on the nested sets of the hypertree posets and it induces on the homology an operad which is (the suspension of the) post-Lie operad.*

Thank you for your attention !

## Proof of the first equation.

We separate the root and every edge containing it, putting  $\{0\}$  where the root was,

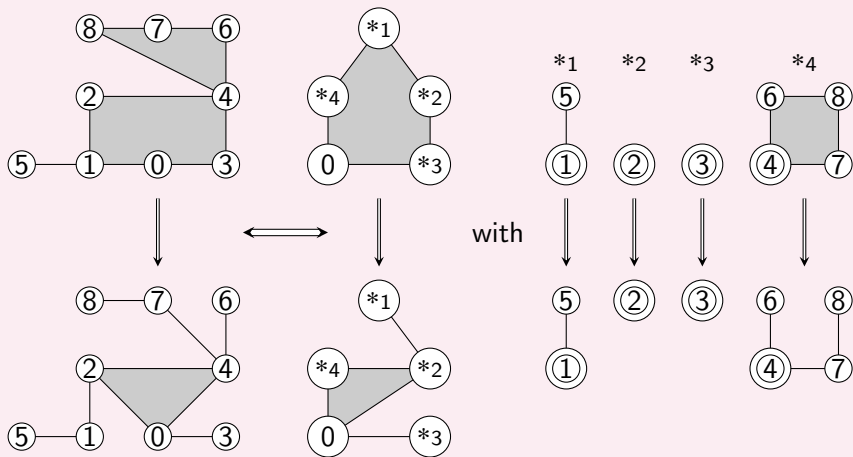
$$\mathcal{H}_k^p = X \times \mathcal{H}_k^0 + X,$$



and of the second one!

8

$$\mathcal{H}_k^0 = \mathbb{E}^+ \circ \mathcal{H}_{k-1}^0 \circ \mathcal{H}_k^P$$



Retour